Authors: Piyush Bagad, Danilo de Goede, Paul Hilders, Jesse Maas

Supervisor: Christos Athanasiadis

MLRC 2021 Experience **Date**: 9-1-2023





MLRC 2021 - Our Experience



Talk Overview

- *i* Our work from FACT 2022
 - **i** Context
 - *The Counterfactual Generative Network (CGN)*
 - **6** Scope of Reproducibility
 - Our methodology and Results
- *i* Lessons learnt, Tips and Suggestions



Context

- Deep Learning models tend to learn "shortcuts" that perform well on benchmarks.
- *i* Shortcut learning causes models to be more sensitive to input perturbation and unseen input contexts.
- *i* Sauer and Geiger (2021) propose an approach using a Counterfactual Generative Network.



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Main claims of the original paper



High-Quality Counterfactuals (HQC)



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Inductive Bias Requirements (IBR)

Main claims of the original paper



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High-Quality Counterfactuals (HQC)



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Inductive Bias Requirements (IBR)







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Experimental results of reproducibility study



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Claim 1: High-Quality Counterfactuals (HQC)



Colored

Double-Colored

Wildlife

| Shape |
|------------|
| Texture |
| Background |

| Racer | Trench coat | Turt |
|--------|-------------|------|
| Clock | Cab | Cau |
| Toucan | Coral reef | Mus |
| | | |



Figure 3. Reproduced qualitative results on ImageNet



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(b) Generated Counterfactual Images

Double-Colored

Wildlife



Figure 2. Reproduced qualitative results on MNIST variants

Colored

Claim 1: High-Quality Counterfactuals (HQC)



Colored

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Wildlife

Figure 2. Reproduced qualitative results on MNIST variants

| Shape |
|------------|
| Texture |
| Background |

| Racer | Trench coat | Turtle | Vase | Malinois | Barrel |
|--------|-------------|-------------|----------|----------|------------|
| Clock | Cab | Cauliflower | Elephant | Viper | Piggy bank |
| Toucan | Coral reef | Mushroom | Alp | Spider | Ibex |
| | | | | | |



Figure 3. Reproduced qualitative results on ImageNet



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Claim 1: High-Quality Counterfactuals (HQC)



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| Shape | Racer | Trench coat | Turtle | Vase | Malinois | Barrel |
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| | | | | | | |

Figure 3. Reproduced qualitative results on ImageNet



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(b) Generated Counterfactual Images



b

Colored Double-Colored

l Wildlife

Claim 2: Inductive Bias Requirements (IBR)

| \mathcal{L}_{shape} | \mathcal{L}_{text} | \mathcal{L}_{bg} | \mathcal{L}_{rec} | IS ↑ |
|--|--|--------------------|---------------------|----------------------------|
| × | Image: A set of the set of the | ✓ | ✓ | 100.8 <mark>85.9</mark> |
| \checkmark | × | ✓ | ✓ | 186.5 <mark>198.4</mark> |
| \checkmark | ✓ | × | ✓ | 200.9 195.6 |
| \checkmark | ✓ | ✓ | × | 19.3 <mark>38.4</mark> |
| Image: A second s | Image: A second s | ✓ | ✓ | 156.1 <mark>130.2</mark> |
| BigG | 202.9 | | | |

 Table 1. Reproduced loss ablation study.



Claim 2: Inductive Bias Requirements (IBR)

| \mathcal{L}_{shape} | \mathcal{L}_{text} | \mathcal{L}_{bg} | \mathcal{L}_{rec} | IS ↑ |
|---|----------------------|--------------------|---------------------|----------------------------|
| × | ✓ | ✓ | ✓ | 100.8 <mark>85.9</mark> |
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| Image: A second s | Image: A second s | ✓ | ✓ | 156.1 <mark>130.2</mark> |
| BigG | 202.9 | | | |

 Table 1. Reproduced loss ablation study.



Claim 3: Out-of-Distribution Robustness (ODR)

Table 2. Reproduced qualitative results on MNIST variants.

| Setting | C-M | INIST | DC-I | MNIST | W-MNIST | | | |
|----------|-------------|-------------|-------------|-------------|-------------|--------------------|--|--|
| | Train ↑ | Test ↑ | Train ↑ | Test ↑ | Train ↑ | Test ↑ | | |
| Original | 99.7 99.5 | 37.61 35.9 | 100 100 | 10.5 10.3 | 100 100 | 10.8 10.1 | | |
| GAN | 99.6 99.8 | 32.5 40.7 | 100 100 | 10.6 10.8 | 99.9 100 | 11.2 10.4 | | |
| CGN | 99.4 99.7 | 92.3 95.1 | 94.8 97.4 | 86.5 89.0 | 95.5 99.2 | 81.4 85.7 | | |
| O + GAN | 99.6 99.8 | 41.5 40.7 | 100 100 | 10.0 10.8 | 100 100 | 11.1 10.4 | | |
| O + CGN | 99.2 99.7 | 95.9 95.1 | 96.9 97.4 | 85.5 89.0 | 96.8 99.2 | 62.8 85.7 | | |

Table 3.Shape biases of independent classifiers

| Trained on | Shape Bias | top-1 ↑ | top-5 介 | Trained on | IN-9 ↑ | Mixed-Same ↑ | Mixed-Rand ↑ | BG-Gap ↓ |
|---------------------|------------|----------------|----------------|---------------|---------------|--------------|---------------------|----------|
| IN + GCN/Shape | 54.8 | | | IN | 95.6 | 86.2 | 78.9 | 7.3 |
| IN + GCN/Text | 16.7 | 74.0 | 91.7 | SIN | 89.2 | 73.1 | 63.7 | 9.4 |
| IN + GCN/Bg | 22.9 | | | IN + SIN | 94.7 | 85.9 | 78.5 | 7.4 |
| IN-mini + GCN/Shape | 58.8 | | | Mixed-Rand | 73.3 | 71.5 | 71.3 | 0.2 |
| IN-mini + GCN/Text | 22.6 | 56.5 | 79.3 | IN + CGN | 94.2 | 83.4 | 80.1 | 3.3 |
| IN-mini + GCN/Bg | 24.7 | | | IN-mini + CGN | 89.4 | 75.4 | 66.7 | 5.0 |

Table 4. Evaluation of robustness against adversarially chosen backgrounds

Claim 3: Out-of-Distribution Robustness (ODR)

Table 2. Reproduced qualitative results on MNIST variants.

| Setting | C-M | NIST | IST DC-MNIST | | | NIST | |
|----------|-------------|-------------|--------------|-------------|-------------|-------------|--|
| | Train ↑ | Test ↑ | Train ↑ | Test ↑ | Train ↑ | Tes: II | |
| Original | 99.7 99.5 | 37.61 35.9 | 100 100 | 10.5 10.3 | 100 100 | 10.8 10.1 | |
| GAN | 99.61 | 32.5 40.7 | 100 100 | 10.6 10.8 | 00.01 100 | 11.2 10.4 | |
| CGN | 99.4 99.7 | 92.21 95.1 | 94.8 97.4 | 86.5 92.0 | 95.51 99.2 | 81.4 85.7 | |
| O + GAN | 99.6 99.8 | 41.5 40.7 | 100 100 | 10.0 10.8 | 100 100 | 11.1 10.4 | |
| O + CGN | 99.2 99.7 | 95.9 95.1 | 96 | 85.5 89.0 | 96.8 99.2 | 62.8 85.7 | |

Table 3. Shape biases of independent classifiers

| Trained on | Shape Bias | top-1 ↑ | top-5 ↑ | Trained on | IN-9 ↑ | Mixee. Come 🏠 | Mixed-Rand ↑ | BG-Gap ↓ |
|---------------------|------------|----------------|----------------|---------------|---------------|---------------|---------------------|----------|
| IN + GCN/Shape | F0 | | | IN | 95.6 | 86.2 | 78.9 | 7.3 |
| IN + GCN/Text | 16.7 | 74.0 | 91.7 | SIN | 89.2 | 73.1 | <3.7 | 9.4 |
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| | | | | | | | | |



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Table 4. Evaluation of row stness against adversarially chosen backgrounds

Results beyond original paper



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(a) Feature for original samples



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Figure 4. Feature space visualization of a CNN classifier trained on on colored MNIST variants





(a) Feature for original samples



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Figure 4. Feature space visualization of a CNN classifier trained on on colored MNIST variants





(a) Feature for original samples

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(a) Feature for original samples



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Figure 4. Feature space visualization of a CNN classifier trained on on colored MNIST variants



Explainability analysis: What does the model focus on?

Trained on original

Trained on CF









W-MNIST

Figure 5. GradCAM heatmap visualized on W-MNIST samples



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Figure 6. Metric to quantify areas where the model focuses on

Demo for ImageNet

Explainability analysis: What does the model focus on?



W-MNIST

Figure 5. GradCAM heatmap visualized on W-MNIST samples



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Figure 6. Metric to quantify areas where the model focuses on

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Explainability analysis: What does the model focus on?

Trained on original

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W-MNIST

Figure 5. GradCAM heatmap visualized on W-MNIST samples



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Figure 6. Metric to quantify areas where the model focuses on

Demo for ImageNet

Our experience & Lessons Learned



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Fairness, Research & Management



Great overview of topics such as fairness, accountability and Al ethics in general!



Great (first) research experience unlike other course assignments!



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Managing time/workload under a deadline

Collaborating in a group over a research project

Tips & Suggestions: Research

Several aspects to research (specific to

reproducibility)

- Read and understand thoroughly
- Identify key contributions of the paper
- Identify key experiments that support these
- Identify drawbacks and possible extensions
- Coding
- Experimenting
- Writing and presenting
- Submitting

• ...

Look at reproducibility papers of previous years for inspiration and structure!





Ask the right questions!



Qualitative analysis generally helps to get a nice intuition beyond numbers!





Do not fixate on reproducing the exact numbers. Looks for matching trends!

Tips & Suggestions: Management



It helps to appoint a lead for each broad vertical – but the lead should not do everything!



Communication is the key!

- Setup a chat for real-time comms (Discord/WhatsApp)
- It helps to meet regularly (daily - albeit for 15 mins)









Learn from each other!

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